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FSUM 10463.1
PATENT

Express Mail No. EL 998648556 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit 1625

Application of Robert A. Holton
Serial No. 10/609,301
Filed June 27, 2003
Confirmation No. 8048
For C10 HETEROSUBSTITUTED ACETATE TAXANES

October 3, 2003

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT


* Applicant submits herewith, in accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record herein. Copies of the references cited have not been provided in accordance with 37 C.F.R. § 1.98(d) because they are of record in a prior application Serial No. 09/775,912, filed February 2, 2001 relied upon for an earlier filing date under 35 U.S.C. § 120.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. §1.97(b) in that applicants believe that it is being filed prior to the mailing date of the first Office action on the merits. Accordingly, neither a statement nor fee under 37 C.F.R. §1.97(c) or (d) is required. If an Office action was issued prior to the mailing of this Statement, the Commissioner is hereby authorized to charge any fees associated with this Statement to Account No. 19-1345.

Respectfully submitted,

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BSS/vlm
*Enclosure

PTO/SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT 		Complete if Known			
		Application Number	10/609,301		
		Filing Date	June 27, 2003		
		Confirmation Number	8048		
		First Named Inventor	Robert A. Holton		
		Group Art Unit	1625		
		Examiner Name			
Sheet	1	of	4	Attorney Docket No.	FSUM 10463.21

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	1	4,960,790		Stella et al.	10-02-1990
	2	5,175,315		Holton	12-29-1992
	3	5,227,400		Holton et al.	07-13-1993
	4	5,243,045		Holton et al.	09-07-1993
	5	5,283,253		Holton et al.	02-01-1994
	6	5,350,866		Holton et al.	09-27-1994
	7	5,430,160		Holton	07-04-1995
	8	5,556,877		Bouchard et al.	09-17-1996
	9	5,556,878		Kelley et al.	09-17-1996
	10	5,567,614		Patel et al.	10-22-1996
	11	5,614,645		Kingston et al.	03-25-1997
	12	5,714,513		Holton et al.	02-03-1998
	13	5,721,268		Holton et al.	02-24-1998
	14	5,756,536		Chen et al.	05-26-1998

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A

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Examiner Name	

Sheet

2

of

4

Attorney Docket No.

FSUM 10463.21

	15	5,767,297		Mandai et al.	06-16-1998
	16	5,780,653		Tao et al.	07-14-1998
	17	5,811,452		Ojima et al.	09-22-1998
	18	5,879,929		Patel	03-09-1999
	19	5,912,264		Wittman et al.	06-15-1999
	20	5,939,561		Bourzat et al.	08-17-1999


FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office	Number ⁴	Kind Code ² (if known)			
	21	EP	0 558 959	B1	Bristol-Myers Squibb Co.	09-08-1993	
	22	EP	0 629 701	B1	Bristol-Myers Squibb Co.	12-21-1994	
	23	EP	0 687 259	B1	Rhone-Poulenc Rorer	12-20-1995	
	24	EP	0 882 732	A1	Ensuiko Sugar Refining Co., Ltd.	12-09-1998	
	25	WO	93/18018	A1	University of Florida	09-16-1993	
	26	WO	94/20484	A1	Rhone-Poulenc Rorer S.A.	09-15-1994	
	27	WO	95/11241	A1	Rhone-Poulenc Rorer S.A.	04-27-1995	
	28	WO	96/13495	A1	The Research Foundation of State University of New York	05-09-1996	
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Sheet	4	of	4	Attorney Docket No.	FSUM 10463.21

	40	RAO et al. "Synthesis and Evaluation of Some 10-Mono- and 2',10-Diesters of 10-Deacetylpaclitaxel" Journal of Medicinal Chemistry, Vol. 38, No. 17 (1995) pp. 3411-3414.	
	41	SAMARANAYAKE et al. "Modified Taxols. 5. Reaction of Taxol with Electrophilic Reagents and Preparation of a Rearranged Taxol Derivative with Tubulin Assembly Activity" J. Org. Chem., Vol. 56, No. 17 (1991) pp. 5114-5119.	
	42	STRAUBINGER et al. "Pharmacology and Antitumor Effect of Novel Paclitaxel Formulations" Chapter 8, Edited by G. Georg et al., Taxane Anticancer Agents, Basic Science and Current Status, ACS Symposium Series 583, 207th National Meeting of the American Chemical Society, San Diego, CA (1994) pp. 111-123.	
	43	SUFFNESS "Chapter 32. Taxol: From Discovery to Therapeutic Use" Annual Reports in Medicinal Chemistry, Vol. 28 (1993) pp. 305-314.	
	44	International Search Report for analogous PCT Application No. PCT/US01/03553 dated June 11, 2001.	

Examiner Signature		Date Considered	
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